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DE RUEHC #2332 2471349
ZNR UUUUU ZZH
R 041330Z SEP 09
FM SECSTATE WASHDC
TO RUEHBK/AMEMBASSY BANGKOK 0000
INFO RUETIAA/DIRNSA FT GEORGE G MEADE MD
RUEAIIA/CIA WASHINGTON DC
RHMCSUU/FBI WASHINGTON DC 0000
RUEKJCS/SECDEF WASHINGTON DC
RUCPDOG/USDOC WASHINGTON DC 0000

UNCLAS STATE 092332

SENSITIVE
SIPDIS
BANGKOK FOR TOM HINES

E.O. 12958: N/A
TAGS: [ETTC](#) [KOMC](#) [TH](#)
SUBJECT: BLUE LANTERN LEVEL 3: POST-SHIPMENT END-USE CHECK
ON LICENSES 050123318, 050131759, 050131068, AND 050131059

REF: BLUE LANTERN GUIDEBOOK

11. (U) This is an Action Request. See paragraph 4.

12. (U) The Department's Office of Defense Trade Controls Compliance (PM/DTCC) requests Post's assistance in conducting a post-shipment check on licenses 050123318, 050131759, 050131068, and 050131059 for the export of firearms to Thailand. Post is requested to complete this Blue Lantern check within 45 days. Lack of response to a Blue Lantern check will affect pending and future licenses involving parties to this license.

13. (SBU) Reason for Request: Information obtained by PM/DTCC indicates that four (4) Smith & Wesson firearms originally exported to Thailand under these licenses may have been re-exported without Department authorization. This request is for Post to review the records of the end-users listed below to determine to whom the following firearms were sold: Smith & Wesson serial numbers BJM3309, BJM3310, BJM3313, and BJM3348. In addition, P. Phanich Firearms and Mata Firearms have never been subject to a Blue Lantern check. Post is requested to establish the bona fides of these companies.

14. (U) Action Request: Post is requested to determine the ultimate end-users of the following four Smith & Wesson firearms:

--Serial number BJM3309 exported on license 050123318 to Mata Firearms (see para 5A)
--Serial number BJM3310 exported on license 050131759 to Aumnaj Firearms (see para 5B)
--Serial number BJM3313 exported on license 050131068 to P. Phanich Firearms (see para 5C)
--Serial number BJM3348 exported on license 050131059 to Erawan Firearms (see para 5D)

Post is also requested to establish the bona fides of P. Phanich Firearms and Mata Firearms by making inquiries to representatives of the companies and appropriate host government officials. The following points are included for guidance:

(To Fixtech)

--Did you ever have physical possession of the firearms listed on these licenses? If so, please provide all documentation that demonstrates the firearms were transferred to the end-users listed on these licenses.

(To Mata Firearms)

--When was your company established and who are its

principals?

--What products and services does your company provide? Who are your regular customers and where do they reside?

--What are your annual sales of U.S.-origin firearms?

--Please provide all documentation on the sale of a Smith & Wesson firearm serial number BJM3309. To whom did you sell this firearm? Where does this customer reside? Please provide any information you have on this customer.

--Are you familiar with the regulations governing the import of U.S.-origin defense articles, including restrictions on re-transfer or re-export?

(To Aumnaj Firearms)

--What are your annual sales of U.S.-origin firearms?

--Please provide all documentation on the sale of a Smith & Wesson firearm serial number BJM3310. To whom did you sell this firearm? Where does this customer reside? Please provide any information you have on this customer.

--Are you familiar with the regulations governing the import of U.S.-origin defense articles, including restrictions on re-transfer or re-export?

(To P. Phanich Firearms)

--When was your company established and who are its principals?

--What products and services does your company provide? Who are your regular customers and where do they reside?

--What are your annual sales of U.S.-origin firearms?

--Please provide all documentation on the sale of a Smith & Wesson firearm serial number BJM3313. To whom did you sell this firearm? Where does this customer reside? Please provide any information you have on this customer.

--Are you familiar with the regulations governing the import of U.S.-origin defense articles, including restrictions on re-transfer or re-export?

(To Erawan Firearms)

--What are your annual sales of U.S.-origin firearms?

--Please provide all documentation on the sale of a Smith & Wesson firearm serial number BJM3348. To whom did you sell this firearm? Where does this customer reside? Please provide any information you have on this customer.

--Are you familiar with the regulations governing the import of U.S.-origin defense articles, including restrictions on re-transfer or re-export?

(To Appropriate Thai Officials)

--Are Mata Firearms and P. Phanich Firearms properly authorized to import and sell defense articles in Thailand?

--Do you have any information on Mata Firearms, Aumnaj Firearms, P. Phanich Firearms, and Erawan Firearms that would question their reliability as a recipient of U.S. defense articles?

--Do you have any records of Mata Firearms, Aumnaj Firearms, P. Phanich Firearms, or Erawan Firearms exporting U.S.-origin firearms to end-users outside Thailand?

In its reply, Post is encouraged to comment on any connection between these companies and any other information pertinent to this transaction such as security of facilities, end-use,

and concerns about the entities involved.

15. (U) Details of license applications:

1A.

DTC Case: 050123318 (NOTE: Serial number BJM3309 was exported under this license)

QUANTITY/COMMODITY:

10 Model 60 Revolvers

5 Model 67 Revolvers

4 Model 686 Revolvers

5 Model PPK/S Pistols

4 Model 5906 Pistols

2 Model M&P9 Pistols

License Value: USD \$14,699

SELLER:

Smith & Wesson Holding Corporation
2100 Roosevelt Ave
Springfield, MA 01104

FOREIGN INTERMEDIATE CONSIGNEE:

Fixtech Company LTD
21st Floor, Two Pacific Place 142 Sukhumvit Road
Klongtdey, Bangkok 10110
Thailand
Tel: 02-653-2137 through 9

FOREIGN END-USER:

Mata Firearms L.P.
49 Burapha Road Wangburaphabhirom, Phranakorn
Bangkok 10200
Thailand
Tel: 02-224-3809 / 02-224-3810

PURPOSE:

For commercial resale in Thailand only.

1B.

DTC Case: 050131759 (NOTE: Serial number BJM3310 was exported under this license)

QUANTITY/COMMODITY:

7 Model 686 Revolvers

3 Model 60 Revolvers

9 Model 5906 Revolvers

License Value: USD \$11,331

SELLER:

Smith & Wesson Holding Corporation
2100 Roosevelt Ave
Springfield, MA 01104

FOREIGN INTERMEDIATE CONSIGNEE:

Fixtech Company LTD
21st Floor, Two Pacific Place 142 Sukhumvit Road
Klongtdey, Bangkok 10110
Thailand

Tel: 02-653-2137 through 9

FOREIGN END-USER:

Aumnaj Firearms L.P.
66 Unakann Road Wangburaphbhirom, Phranakorn
Bangkok 10200
Thailand
Tel: 221-0252 / 222-2630

PURPOSE:

For commercial resale in Thailand only.

C.

DTC Case: 050131068 (NOTE: Serial number BJM3313 was exported under this license)

QUANTITY/COMMODITY:

5 Model 41 Pistols

5 Model 617 Revolvers

37 Model 686 Revolvers

5 Model 5906 Pistols

8 Model 60 Revolvers

License Value: USD \$33,472

SELLER:

Smith & Wesson Holding Corporation
2100 Roosevelt Ave
Springfield, MA 01104

FOREIGN INTERMEDIATE CONSIGNEE:

Fixtech Company LTD
21st Floor, Two Pacific Place 142 Sukhumuit Road
Klongtdey, Bangkok 10110
Thailand
Tel: 02-653-2137 through 9

FOREIGN END-USER:

P. Phanich Firearms Ltd
11 Burapha Road Wangburaphbhirom, Phranakorn
Bangkok 10200
Thailand
Tel: (662) 222-0742 / (662) 222-0767

PURPOSE:

For commercial resale in Thailand only.

D.

DTC Case: 050131059 (NOTE: Serial number BJM3348 was exported under this license)

QUANTITY/COMMODITY:

14 Model 686 Revolvers

6 Model CS9 Pistols

4 Model 620 Revolvers

4 Model 5906 Pistols

2 Model 60 Revolvers

License Value: USD \$15,549

SELLER:

Smith & Wesson Holding Corporation
2100 Roosevelt Ave
Springfield, MA 01104

FOREIGN INTERMEDIATE CONSIGNEE:

Fixtech Company LTD
21st Floor, Two Pacific Place 142 Sukhumvit Road
Klongtdey, Bangkok 10110
Thailand
Tel: 02-653-2137 through 9

FOREIGN END-USER:

Erawan Firearms L.P.
2/9, 2/10 Burapha Road Wangburaphaphirom
Bangkok Phranakorn 10200
Thailand
Tel: (662) 225-8395
Email: ks@erawanfirearms.com / ks@sachdev.co.th

PURPOSE:

For commercial resale in Thailand only.

¶6. (U) Submitted in support of the license application were the following documents (PM/DTCC will fax documentation to Posts upon request):

¶A.

Two signed DSP-83 Nontransfer and Use Certificate signed by Helen L. Piquette (Smith & Wesson) dated 09/12/2008 and Mr. Metha Muanthae (Mata) dated 08/04/2008

Four import Permit Nos. PK642/2551 through PK645/2551 issued by the Department of Provincial Administration dated 07/23/2008

Two Purchase Orders Nos. 11166 and 11167 issued by Fixtech Company dated 09/04/2008

Two Purchase Order Nos. MATA 001/2008 and MATA 001.1/2008 issued by Mata Firearms dated 08/01/2008

¶B.

Signed DSP-83 Nontransfer and Use Certificate signed by Helen L. Piquette (Smith & Wesson) dated 11/06/2008 and Mr. Vichean Suphab (Aumnaj) dated 10/30/2008

Three Import Permit Nos. PK903/2551 through PK905/2551 issued by the Department of Provincial Administration dated 09/19/2008

Purchase Order No. 11205 issued by Fixtech Company dated 11/03/2008

Two Purchase Order No. Aumnaj 001/2008 issued by Aumnaj Firearms dated 10/20/2008

¶C.

Signed DSP-83 Nontransfer and Use Certificate signed by Helen L. Piquette (Smith & Wesson) dated 11/03/2008 and Mrs. Pensri Vannaswang (P. Phanich) dated 10/30/2008

Five Import Permit Nos. PK1003/2551 through PK1006/2551 and PK1008/2551 issued by the Department of Provincial Administration dated 10/8/2008

Purchase Order No. 11212 issued by Fixtech Company dated 10/30/2008

Purchase Order No. P. Phanich 02/08 issued by P. Phanich Firearms dated 10/22/2008

1D.

Signed DSP-83 Nontransfer and Use Certificate signed by Helen
1L. Piquette (Smith & Wesson) dated 11/03/2008 and Mr.
Kulbirpaisingh Sachdey (Erawan) dated 11/01/2008

Three Import Permit Nos. PK925/2551 through PK926/2551 issued
by the Department of Provincial Administration dated 10/3/2008

Purchase Order No. 11214 issued by Fixtech Company dated
10/31/2008

Purchase Order No. E5179 issued by Erawan Firearms dated
10/28/2008

17. (U) Please slug reply for PM/DTCC - BLUE LANTERN
COORDINATOR and include the words "Blue Lantern" and the case
number in the subject line. POC is Kyle M. Ballard, Phone:
202-663-1929; Email: BallardKM@state.gov; SIPRNET:
BallardKM@state.sgov.gov. The Blue Lantern Guidebook (ref)
is available as a PDF file on Intellipedia:
<http://www.intelink.gov/wiki/>
Blue Lantern End-Use Monitoring Program

18. (U) Department is grateful for Post's assistance in this
matter.
CLINTON